

DIST/OFFICE	DOCKET		FILING DATE			J	N/S	O	R	R 23	\$	DEMAND		JUDGE	JURY	DOCKET	
	YR.	NUMBER	MO.	DAY	YEAR							OTHER	NUMBER	DEM.	YR.	NUMBER	
1085/4	76	0142	4	1	76	3	890	1					8510	P	76	0142-C	

PLAINTIFFS

ZELDA LUDMAN

DEFENDANTS

ALLEN B. SALIKOF, and
BACHE & CO., INC. A CORPORATION

CAUSE

Violation of the Federal Securities Laws:
15 U.S.C. §77q(a) 17 C.F.R. 240.10b-5 and common law fraud
15 U.S.C. §78j(b)

ATTORNEYS

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☐ CHECK
HERE
IF CASE WAS
FILED IN
FORMA
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FILING FEES PAID

DATE	RECEIPT NUMBER	C.D. NUMBER

STATISTICAL CARDS

CARD DATE MAILED
JS-5 5-1
JS-6 4-1-77

DATE	NR.	PROCEEDINGS
4-1-76 4-7	1	Complaint filed. Summons Issued.t JURY DEMAND U.S. Marshal's service returned and filed. Executed by serving Allen B. Salikof, 4-6-76 at Tulsa, Oklahoma. (USM \$5.16)jj
4-27	2.	Appl. of def. Salikof for ext. of time to plead or ans., filed. rm
4-27	3.	Order, filed, granting def. Salikof to 5/11/76 to plead or ans.(JS-Clk)rm copies mailed by counsel
5-3	4.	Deft. Bache & Co's appl. for add. time within which to plead or ans., filed. ph
5-3	5.	Order filed granting Bache & Co. until 5-18-76 to ans.(JCS-CC)ph cps mld
5-12	6.	Answer of Allen B. Salikof, filed. ph
5-27	7.	Deft. Bache & Co's app. for additional time to plead or ans., filed.ph
5-28	8.	Order, filed, granting deft. Bache & Co. until 6-7-76 to ans (HDC-J)ph cps.
6-7	9.	Deft., Bache & Co.'s answer, filed. ph
6-22	MO:	Case set for PT on 8-27-76 at 3:30 p.m. (HDC-J)ph notices mld
8-17	10.	Pltf's first set of interrogs., filed. ph
8-20	11.	Deft. Bache & Co.'s cross-claim against deft. Allen B. Salikof, filed.k
8-27	MO:	Pre-trial hearing held. Statements made. Discovery to be complete by 10-1-76. Pltf. directed to file statement correcting name of deft. co. Deft. Bache to file brief on liability & statute of limitations by 10-3-76 and pltf. to respond 10 days thereafter. Pltf. to prepare PT order by 11-19-76 and case set for jury trial 1-10-77 at 9:30 a.m. (EST-3 days)(HDC-J)hm
8-27	12.	PT conference memorandum, filed in open court. hm
9-3	13.	Deft. Bache Halsey Stuart, Inc.'s appl. for additional time to ans. pltf's interrogs., filed. ph ota 2
9-7	14	Order, filed, granting def. add. 20 days from this date to ans. pltf. interrogatories. (HDC-J)rm-copies mailed
9-14	15.	Deft. Allen B. Salikof's ans. to cross-claim of deft. Bache & Co., filed. ph
9-27	16.	Ans. of Deft. Bache Halsey Stuart, Inc. to pltf's first set of interrogs., filed. ph
10-6	17.	Deft. Bache Halsey Stuart's appl. for addit. time to file brief, re statute of limitations & liability, filed. ph ota 3
10-8	18.	Order, filed, granting def. Stuart to 10/30/76 to file motions & brief re statute of limitations and liability.(HDC-J)rm-copies mailed
10-8	19.	Deft. Allen B. Salikof's appl. for addit. time to brief the statute of limitations problems, filed. ph ota 4
10-12	20.	Order, filed, granting def. Salikof to 10/30/76 to file brief on statute of limitations.(HDC-J)rm-copies mailed
10-29	21.	Defts' appl. for time until 11-15-76 to brief statute of limitations & liability of deft. Bache, filed.k O to J
11-1	22.	Order, filed, granting defts' appl. for time until 11-15-76 to brief statute of limitations & liability of deft. Bache.s (HDC-J) cps. mld.
11-15	23.	Motion for Summary Judgment by deft. Bache Halsey Stuart, filed. ph
11-15	24.	Brief in support of mo/SJ on behalf of deft. Bache Halsey Stuart, filed. ph
11-16	MO:	It is ordered by the Court that the pltf. file a resp. brief within 10 days to the Motion for Summary Judgment by deft. Bache Halsey Stuart, Inc. (HDC-J)ph cps mld

CIVIL DOCKET CONTINUATION SHEET

PLAINTIFF	DEFENDANT	DOCKET NO. <u>76-C-142-C</u>
ZELDA LUDMAN	ALLEN B. SALIKOF, et al	PAGE <u>3</u> OF <u>2</u> PAGES

DATE	NR.	PROCEEDINGS
<u>1976</u>		
11-16	25.	Appl. of deft. Allen B. Salikof for addit. time to file brief on statute of limitation, filed. ph <i>oto 9</i>
11-17	26.	Order, filed, granting deft. Allen B. Salikof until 11-25-76 to file motions and brief with regard to questions of statute of limitations and liability of deft. Bache Halsey Stuart, Inc.s (HDC-J) cps. mld.
11-19	27.	Motion for S/J by deft. Allen B. Salikof, filed. ph
11-19	28.	Brief in support of motion for S/J on behalf of deft. Salikof, filed. ph
11-19	MO:	It is ordered by the Court that the pltf. file a resp. brief in 10 days to the Motion for S/J by deft. Allen B. Salikof. (HDC-J)ph cps mld
11-24	29.	Pltf's motion for ext. of time to file PTO, filed. ph <i>oto 9</i>
11-29	30.	Pltf's resp. to motions for S/J filed by both defts, filed. ph
11-29	31.	Pltf's brief in support of pltf's resp. to motions for S/J filed by both defts., filed. ph
11-30	32.	Order, filed, that parties are given until 10 days following Court's ruling on the defts' Motion for S/J to submit a proposed PT Order. (HDC-J)ph cps mld
12-9	33.	Deft. Bache Halsey Stuart, Inc.'s appl. for addit. time to file reply brief., filed. ph <i>oto 9</i>
12-13	34.	Order, filed, granting def. Bache Halsey to 12/19/76 to file its brief in reply to pltf. ans. brief. (HDC-J)rm-copies mailed
12-20	35.	Reply brief in support of motion for S/J on behalf of deft. Bache Halsey Stuart, Inc. w/affidavit of Maureen Mabrey, filed. ph
<u>1977</u>		
1-3	36.	Joint motion for continuance of trial setting of 1-11-77, filed. ph <i>oto 9</i>
1-6	37.	Order striking case from trial docket of 1-10-77 (jury), filed. (HDC-J)hm (copies mailed)
1-19		Deposition of Allen Salikof, on behalf of pltf., and deposition of Cindy Ludman Salikof, on behalf of defs., taken on 10/19/76, in Tulsa, filed. (M. Ballard-LSR) rm
1-20	MO:	Case reset for Jury trial on 2-14-77 at 9:30 a.m. (HDC-J)ph notices mld
1-31	MO:	It is ordered by the Court that the motions for S/J of the defendants Bache Halsey Stuart and Allen B. Salikof are each overruled. (HDC-J)hm (letter written parties)
2-4	38.	Joint motion for addit. time to file trial briefs, req. instructions, & requested voir dire, filed. hm
2-14	MO:	Case called for jury trial. Parties represented, w/exception of Mr. Abney. Pltf. announces settlement against defts. & deft. Bache announces dismissal of cross-claim against deft. Salikof without prejudice. Parties read into record terms of settlement. Order of dismissal to be presented by 2-15-77. (HDC-J)hm
2-15	39.	Stipulation and order of dismissal w/prejudice, filed and entered, closing case as to deft. Salikof w/each party bearing his own costs. (HDC-J)ph cps mld
2-16	39.	Order, filed, approving terms of settlement; dismissals without

CIVIL DOCKET CONTINUATION SHEET

PLAINTIFF		DEFENDANT	DOCKET NO. <u>76-C-142</u> PAGE ____ OF ____ PAGES
DATE	NR.	PROCEEDINGS	
		prejudice to be filed upon payment of agreed sum. (HDC-J)hm (copies mailed)	
2-28-77	40.	Joint appl. and stipulation for dismissal, filed.rm (o to j)	
3-1	41.	Order, filed and entered, that complaint of pltf. against def. Bache and Bache's cross-claim against co-def. Salikof is dismissed without prejudice. (HDC-J)rm-copies mailed	